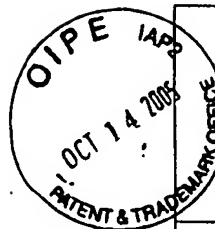


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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/813,354
				Filing Date	March 29, 2004
				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Not yet assigned
Sheet	1	of	6	Attorney Docket Number	29912-704.301

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/SG/		US-2001/0018611	08/30/2001	Solem et al.	
		US-2001/0044568	11/22/2001	Langberg et al.	
		US-2001/0049558	12/06/2002	Liddicoat et al.	
		US-2002/0016628	02/07/2002	Langberg et al.	
		US-2002/0042621	04/11/2002	Liddicoat et al.	
		US-2002/0042651	04/11/2002	Liddicoat et al.	
		US-2002/0049468	04/25/2002	Streeter et al.	
		US-2002/0055774	05/09/2002	Liddicoat	
		US-2002/0065554	05/30/2002	Streeter	
		US-2002/0087173	07/04/2002	Alfermess et al.	
		US-2002/0095167	07/18/2002	Liddicoat et al.	
		US-2002/0103533	08/01/2002	Langberg et al.	
		US-2002/0138044	09/26/2002	Streeter et al.	
		US-2002/0151961	10/17/2002	Lashinski et al.	
		US-2002/0183837	12/05/2002	Streeter et al.	
		US-2002/0183838	12/05/2002	Liddicoat et al.	
		US-2002/0183841	12/05/2002	Cohn et al.	
		US-2003/0018358	01/23/2003	Saadat	
		US-2003/0069636	04/10/2003	Solem et al.	
		US-2003/0078465	04/24/2003	Pai et al.	
		US-2003/0078654	04/24/2003	Taylor et al.	
		US-2003/0088305	05/08/2003	Van Schie et al.	
		US-2003/0130730	07/10/2003	Cohn et al.	

Examiner Signature	/SG/	Date Considered	09/11/2007
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U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
/SG/		US-2004/0176840	09/09/2004	Jonathan J. Langberg	
		US-2004/0260342	12/23/2004	Vargas et al.	
		US-4,055,861	11/01/1977	Carpentier et al.	
		US-4,164,046	08/14/1979	Cooley	
		US-4,485,816	12/04/1984	Krumme	
		US-4,550,870	11/05/1985	Krumme et al.	
		US-4,588,395	05/13/1986	Lemelson	
		US-4,830,023	05/16/1989	de Toledo et al.	
		US-5,061,277	10/29/1991	Carpentier et al.	
		US-5,250,071	10/05/1993	Palermo	
		US-5,261,916	11/16/1993	Engelson	
		US-5,265,601	11/30/1993	Mehra	
		US-5,350,420	07/27/1994	Cosgrove et al.	
		US-5,474,557	12/12/1995	Mai	
		US-5,514,161	05/07/1996	Limousin	
		US-5,554,177	09/10/1996	Kieval et al.	
		US-5,562,698	10/08/1996	Parker	
		US-5,584,867	12/17/1996	Limousin et al.	
		US-5,601,600	02/11/1997	Ton	
		US-5,676,671	10/14/1997	Inoue	
		US-5,733,325	03/31/1998	Robinson et al.	
		US-5,824,071	10/20/1998	Nelson et al.	
		US-5,891,193	04/06/1999	Robinson et al.	
		US-5,895,391	04/20/1999	Farnholtz	

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		Number-Kind Code ² (if known)			
/SG/		US-5,899,882	05/04/1999	Waksman et al.	
		US-5,908,404	06/01/1999	Elliot	
		US-5,928,258	07/27/1999	Khan et al.	
		US-5,935,161	08/10/1999	Robinson et al.	
		US-5,961,545	10/05/1999	Lentz et al.	
		US-5,984,944	11/16/1999	Forber	
		US-6,027,517	02/22/2000	Crocker et al.	
		US-6,077,295	06/20/2000	Limon et al.	
		US-6,077,297	06/20/2000	Robinson et al.	
		US-6,096,064	08/01/2000	Routh	
		US-6,099,549	08/08/2000	Bosma et al.	
		US-6,099,552	08/08/2000	Adams	
		US-6,129,755	10/10/2000	Mathis et al.	
		US-6,171,320	01/09/2001	Monassevitch	
		US-6,190,406	02/20/2001	Duerig et al.	
		US-6,210,432	04/03/2001	Solem et al.	
		US-6,275,730	08/14/2001	KenKnight et al.	
		US-6,342,067	01/29/2002	Mathis et al.	
		US-6,352,553	03/05/2002	van der Burg et al.	
		US-6,352,561	03/05/2002	Leopold et al.	
		US-6,358,195	03/19/2002	Green et al.	
		US-6,395,017	05/28/2002	Dwyer et al.	
		US-6,402,781	06/11/2002	Langberg et al.	
		US-6,419,696	07/16/2002	Ortiz et al.	

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				Application Number	10/813,354
				Filing Date	March 29, 2004
				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Not yet assigned
Sheet	5	of	6	Attorney Docket Number	29912-704.301

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² - Number ³ - Kind Code ⁴ (if known)				
/SG/		EP0893133	01/27/1999	Medex		✓
		EP1050274-A1	11/08/2000	Medical Industries Corporation		
		EP1095634-A2	05/02/2001	Cordis Corporation		
		GB0741604	12/07/1955	S. & R. J. Everett & Company		
		WO 98/056435-A1	12/17/1998	Micro Therapeutics, Inc.		
		WO 00/044313-A1	08/03/2000	Viacor, Inc.		
		WO 00/060995-A3	10/19/2000	Evalue, Inc.		
		WO 01/000111-A1	01/04/2001	Solem et al.		
		WO 01/050985-A1	07/19/2001	Viacor, Inc.		
		WO 01/054618-A1	08/02/2001	Mitralife		
		WO 01/087180-A2	11/22/2001	Gaber		
		WO 02/000099-A2	01/03/2002	Viacor, Inc.		
		WO 02/001999-A2	01/10/2002	Viacor, Inc.		
		WO 02/005888-A1	01/24/2002	Viacor, Inc.		
		WO 02/019951-A1	03/14/2002	Viacor, Inc.		
		WO 02/034118-A2	05/02/2002	Viacor, Inc.		
		WO 02/047539-A2	06/20/2002	Viacor, Inc.		
		WO 02/053206-A2	07/11/2002	Cardiac Dimensions, Inc.		
		WO 02/062263-A2	08/15/2002	Viacor, Inc.		
		WO 02/062270-A1	08/15/2002	Solem et al.		
		WO 02/062408-A2	08/15/2002	Viacor, Inc.		
		WO 02/076284-A2	10/03/2002	Viacor, Inc.		
		WO 02/078576-A2	10/10/2002	Viacor, Inc.		
		WO 02/096275-A2	12/05/2002	Viacor, Inc.		
		WO 03/015611-A2	02/27/2003	Viacor, Inc.		

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				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Not yet assigned
Sheet	6	of	6	Attorney Docket Number	29912-704.301

[illegible]

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/SG/	1.	US 2003/0083613	05/01/2003	Schaer	
	2.	US 2003/0135267	07/17/2003	Solem et al.	
	3.	US 2003/0171776	09/11/2003	Adams et al.	
	4.	US 2003/0236569	12/25/2003	Mathis et al.	
	5.	US 2004/0010305	01/15/2004	Alferness et al.	
	6.	US 2004/0019377	01/29/2004	Taylor et al.	
	7.	US 2004/0039443	02/26/2004	Solem et al.	
	8.	US 2004/0073302	04/15/2004	Rourke et al.	
	9.	US 2004/0098116	05/20/2004	Callas et al.	
	10.	US 2004/0102839	05/27/2004	Cohn et al.	
	11.	US 2004/0111095	06/10/2004	Gordon et al.	
	12.	US 2004/0127982	07/01/2004	Machold et al.	
	13.	US 2004/0133220	07/08/2004	Lashinski et al.	
	14.	US 2004/0133240	07/08/2004	Adams et al.	
	15.	US 2004/0133273	07/08/2004	Cox	
	16.	US 2004/0138744	07/15/2004	Lashinski et al.	
	17.	US 2004/0148019	07/29/2004	Vidlund et al.	
	18.	US 2004/0148020	07/29/2004	Vidlund et al.	
	19.	US 2004/0148021	07/29/2004	Cartledge et al.	
	20.	US 2004/0153147	08/05/2004	Mathis	
	21.	US 2004/0158321	08/12/2004	Reuter et al.	
	22.	US 2004/0193191	09/30/2004	Starksen, et al.	
	23.	US 2004/0193260	09/30/2004	Alferness et al.	
	24.	US 2004/0220654	11/04/2004	Mathis et al.	

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/SG/	25.	US 2004/0220657	11/04/2004	Nieminen et al.	
	26.	US 2004/0243227	12/02/2004	Starksen, et al.	
	27.	US 2005/0004667	01/06/2005	Swinford et al.	
	28.	US 2005/0010240	01/13/2005	Mathis et al.	
	29.	US 2005/0021121	01/27/2005	Reuter et al.	
	30.	US 2005/0027351	02/03/2005	Reuter et al.	
	31.	US 2005/0027353	02/03/2005	Alferness et al.	
	32.	US 2005/0033419	02/10/2005	Alferness et al.	
	33.	US 2005/0038507	02/17/2005	Alferness et al.	
	34.	US 2005/0065598	03/24/2005	Mathis et al.	
	35.	US 2005/0096666	05/05/2005	Gordon et al.	
	36.	US 2005/0119673	06/02/2005	Gordon et al.	
	37.	US 2005/0137449	06/23/2005	Nieminen et al.	
	38.	US 2005/0137450	06/23/2005	Aronson et al.	
	39.	US 2005/0137451	06/23/2005	Gordon et al.	
	40.	US 2005/0137685	06/23/2005	Nieminen et al.	
	41.	US 2005/0149179	07/07/2005	Mathis et al.	
	42.	US 2005/0149180	07/07/2005	Mathis et al.	
	43.	US 2005/0149182	07/07/2005	Alferness et al.	
	44.	US 2005/0177228	08/11/2005	Solem et al.	
	45.	US 2005/0187619	08/25/2005	Mathis et al.	
	46.	US 2005/0197692	09/08/2005	Pai et al.	
	47.	US 2005/0197693	09/08/2005	Pai et al.	
	48.	US 2005/0197694	09/08/2005	Pai et al.	

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				Application Number	10/813,354
				Filing Date	March 29, 2004
				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Unassigned
Sheet	3	Of	6	Attorney Docket Number	29912-704.301

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/SG/	49.	US 2005/0209690	09/22/2005	Mathis et al.	
	50.	US 2005/0216077	09/29/2005	Mathis et al.	
	51.	US 2005/0261704	11/24/2005	Mathis	
	52.	US 2005/0272969	12/08/2005	Alferness et al.	
	53.	US 2006/0020335	01/26/2006	Kowalsky et al.	
	54.	US 2006/0030882	02/09/2006	Adams et al.	
	55.	US 2006/0116758	06/01/2006	Swinford et al.	
	56.	US 2006/0142854	06/29/2006	Alferness et al.	
	57.	US 2006/0161169	07/20/2006	Nieminen et al.	
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	60.	US 2006/0191121 A1	08/31/2006	Gordon	
	61.	US 3,995,623	12/07/1976	Black et al.	
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	64.	US 6,015,402	01/18/2000	Sahota	
	65.	US 6,254,628	07/03/2001	Wallace et al.	
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	70.	US 6,709,425	03/23/2004	Gambale et al.	
	71.	US 6,733,521	05/11/2004	Chobotov et al.	
	72.	US 6,764,510	07/20/2004	Vidlund et al.	

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				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Unassigned
Sheet	4	Of	6	Attorney Docket Number	29912-704.301

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/SG/	73.	US 6,793,673	07/01/2004	Kowalsky et al.	
↓	74.	US 6,797,001	09/11/2003	Mathis et al.	
↓	75.	US 6,824,562	11/13/2003	Mathis et al.	
↓	76.	US 6,908,478	06/05/2003	Alferness et al.	
↓	77.	US 6,960,229	11/01/2005	Mathis et al.	
↓	78.	US 6,964,683	11/15/2005	Kowalsky et al.	
↓	79.	US 6,966,926	11/22/2005	Mathis	
↓	80.	US 6,976,995	12/20/2005	Mathis et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ - Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
/SG/	81.	EP 0903110 A1	03/24/1999	B. Braun Medical Inc.		
↓	82.	EP 0968688 A1	01/05/2000	Cordis Europa N. V.		
↓	83.	WO 00/60995 A2	10/19/2000	Deem, et al.		
↓	84.	WO 00/74603 A1	12/14/2000	Colvin et al.		
↓	85.	WO 02/060352 A1	08/08/2002	Mitralife		
↓	86.	WO 03/049647-A1 (in German with English abstract)	06/19/2003	Reitan		
↓	87.	WO 03/059198-A2	07/24/2003	Viacor, Inc.		
↓	88.	WO 03/063735-A2	08/07/2003	Cardiac Dimensions, Inc.		
↓	89.	WO 04/045463-A2	06/03/2004	Advanced Cardiovascular Systems		

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				Filing Date	March 29, 2004
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				Art Unit	3738
				Examiner Name	Unassigned
Sheet	5	Of	6	Attorney Docket Number	29912-704.301

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/SG/	90.	WO 04/084746	10/07/2004	Reitan		
/SG/	91.	WO 05/046531	05/26/2005	Medtronic Vascuar, Inc.		

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				Filing Date	March 29, 2004
				First Named Inventor	John M. Adams
				Art Unit	3738
				Examiner Name	Unassigned
Sheet	6	Of	6	Attorney Docket Number 29912-704.301	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
/SG/	92.	GRAY, H. Anatomy of the Human Body. The Systemic Veins. Philadelphia: Lea & Febiger, 1918; Bartleby.com. 2000. Available at www.bartleby.com/107/. Accessed June 7, 2006.	
/SG/	93.	Heartsite.com. Echocardiogram, 1999; p.1-4. A.S.M. Systems Inc. Available at: http://www.heartsite.com/html/echocardiogram.html. Accessed July 1, 2005.	

UNPUBLISHED PATENT APPLICATIONS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
/SG/	94.	Clifton Alferness, et al. U.S. Patent Application No. 11/467,105 entitled "Device and method for modifying the shape of a body organ," filed 08/24/2006 (WSGR Reference No. 29912-705.304)	
/SG/	95.	Gregory Nieminen, et al. U.S. Patent Application No. 11/458,040, entitled "Mitral Valve Annuloplasty Device with Twisted Anchor," filed 07/17/2006 (WSGR Reference No. 29912-733.501)	
/SG/	96.	Gregory Nieminen, et al. U.S. Patent Application No. 11/458,042, entitled "Mitral Valve Annuloplasty Device with Wide Anchor," filed 07/17/2006 (WSGR Reference No. 29912-733.502)	
/SG/	97.	Mark L. Mathis, et al. U.S. Patent Application No. 11/279,352, entitled "Mitral Valve Annuloplasty Device with Vena Cava Anchor," filed 04/11/2006 (WSGR Reference No. 29912-739.201)	

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STATEMENT BY APPLICANT**

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Complete if Known

Sheet 2 of 2	Application Number	10/813,354
	Filing Date	March 29, 2004
	First Named Inventor	John Adams
	Art Unit	3738
	Examiner Name	Unassigned
Attorney Docket Number		10057-704.301

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/SG/	229	Mathis, Mark; U.S. Pat. App. # 11/655,710, entitled "Mitral Valve Device Using Conditioned Shape Memory Alloy," filed 1/18/2007 (SLG # 10057-714.301).	

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